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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/772,225	02/04/2004	Shilin Chen	SC-03-02	2188
31625 7590 03/20/2008				
BAKER BOTTS L.L.P.				
PATENT DEPARTMENT				
98 SAN JACINTO BLVD., SUITE 1500				
AUSTIN, TX 78701-4039				
EXAMINER				
JONES, HUGH M				
ART UNIT		PAPER NUMBER		
2128				
MAIL DATE		DELIVERY MODE		
03/20/2008		PAPER		

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

# Office Action Summary

**Application No.**

10/772,225

**Applicant(s)**

CHEN ET AL.

**Examiner**

Hugh Jones

**Art Unit**

2128

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --  
**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 1 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 09 October 2007.
- 2a) ☐ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-104 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☐ Claim(s) \_\_\_\_\_ is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☒ Claim(s) 1-104 are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- 1) ☐ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO/SE/US)  
Paper No(s)/Mail Date \_\_\_\_\_
- 4) ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date \_\_\_\_\_
- 5) ☐ Notice of Informal Patent Application
- 6) ☐ Other: \_\_\_\_\_

**DETAILED ACTION**

1. Claims 1-104 of U. S. Application 10/772,225 filed on 2/4/2004 are pending.

***Election/Restrictions***

2. Restriction to one of the following inventions is required under 35 U.S.C. 121:
  - I. Claims 1-28, 41-52, drawn to a method for designing or simulating a roller cone drill bit, classified in class 703, subclass 10.
  - II. Claims 29-40, drawn to a method for designing or simulating a roller cone drill bit, classified in class 703, subclass 10.
  - III. Claims 53-76, drawn to a method for designing or simulating a roller cone drill bit, classified in class 703, subclass 10.
  - IV. Claims 77-87, drawn to a method for designing or simulating a roller cone drill bit, classified in class 703, subclass 10.
  - V. Claims 88-104, drawn to drill bits, classified in class 175, subclass 331  
(Rolling cutter bit or rolling cutter bit element).
3. The inventions are distinct, each from the other because of the following reasons:  
Inventions I-IV and V are related as processes of making and product made.  
The inventions are distinct if either or both of the following can be shown: (1) that the process as claimed can be used to make another and materially different product or (2) that the product as claimed can be made by another and materially different process (MPEP § 806.05(f)). In the instant case, the process as claimed can be used to make another and materially different product (basic optimization techniques applied to bit

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design) and the product can be made by a materially different process (the product can be designed using empirical results.

Inventions I-IV are directed to related processes. The related inventions are distinct if the (1) the inventions as claimed are either not capable of use together or can have a materially different design, mode of operation, function, or effect; (2) the inventions do not overlap in scope, i.e., are mutually exclusive; and (3) the inventions as claimed are not obvious variants. See MPEP § 806.05(j).

In the instant case, the inventions as claimed can have a materially different design, mode of operation, function, or effect, and do not encompass overlapping subject matter and there is nothing of record to show them to be obvious variants.

*Examples of features required in one group and not the others include:*

- Group I

and, for multiple respective ones of said teeth, both adjusting a respective crest orientation thereof, in accordance with the general direction of the trajectory of said tooth in a plane normal to the wellbore axis,

and also

adjusting an axis of said tooth in accordance with the angle at which said tooth indents said rock at the start of said trajectory of said tooth.

- Group II:

said orientation angles being different from parameters which define the characteristics of the respective tooth, and different from parameters which define the location of the respective tooth on the surface of the cone.

these claims are replete with 112 issues. It is impossible to determine the metes and bounds of the claims. However, these features are not recited in the other groups.

- Group III:

and, for at least one of said teeth, adjusting the orientation of the crest of said tooth; and  
adjusting the orientation of the top part of said tooth, in dependence on said trajectory.

- Group IV:

and, for at least one of said teeth, adjusting said teeth, in dependence on said trajectory; such that the normal of the surface of said teeth is in line with the penetration direction of said teeth through rock being drilled.

4. Restriction for examination purposes as indicated is proper because all these inventions listed in this action are independent or distinct for the reasons given above and there would be a serious search and examination burden if restriction were not required because one or more of the following reasons apply:

- (a) the inventions have acquired a separate status in the art in view of their different classification. The material is complex and there are over 100 claims;
- (b) the inventions have acquired a separate status in the art due to their recognized divergent subject matter. The material is complex and there are over 100 claims;

- (c) the inventions require a different field of search (for example, searching different classes/subclasses or electronic resources, or employing different search queries) . The material is complex and there are over 100 claims;
  - (d) the prior art applicable to one invention would not likely be applicable to another invention. The material is complex and there are over 100 claims;
  - (e) the inventions are likely to raise different non-prior art issues under 35 U.S.C. 101 and/or 35 U.S.C. 112, first paragraph. The material is complex and there are over 100 claims.
5. The Examiner has not contacted the Attorney of record since in cases where the restriction is deemed complex, the attorney or agent should be afforded the benefit of receiving the action for careful review and time to formulate a response. This restriction/election is deemed complex by the Examiner. See MPEP 812.01.
6. **Applicant is advised that the reply to this requirement to be complete must include (i) an election of a invention to be examined even though the requirement may be traversed (37 CFR 1.143) and (ii) identification of the claims encompassing the elected invention.**
7. The election of an invention may be made with or without traverse. To reserve a right to petition, the election must be made with traverse. If the reply does not distinctly and specifically point out supposed errors in the restriction requirement, the election shall be treated as an election without traverse. Traversal must be presented at the time of election in order to be considered timely. Failure to timely traverse the requirement will result in the loss of right to petition under 37 CFR 1.144. If claims are added after

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the election, applicant must indicate which of these claims are readable on the elected invention.

8. If claims are added after the election, applicant must indicate which of these claims are readable upon the elected invention.

9. Should applicant traverse on the ground that the inventions or species are not patentably distinct, applicant should submit evidence or identify such evidence now of record showing the inventions or species to be obvious variants or clearly admit on the record that this is the case. In either instance, if the examiner finds one of the inventions unpatentable over the prior art, the evidence or admission may be used in a rejection under 35 U.S.C.103(a) of the other invention.

10. Applicant is reminded that upon the cancellation of claims to a non-elected invention, the inventorship must be amended in compliance with 37 CFR 1.48(b) if one or more of the currently named inventors is no longer an inventor of at least one claim remaining in the application. Any amendment of inventorship must be accompanied by a request under 37 CFR 1.48(b) and by the fee required under 37 CFR 1.17(i).

11. Applicant is given a TIME PERIOD of **ONE (1) MONTH** or **THIRTY (30) DAYS** from the mailing date of this notice, whichever is longer, within which to make an election.

**12. Any inquiry concerning this communication or earlier communications from the examiner should be:**

directed to: Dr. Hugh Jones telephone number (571) 272-3781,

Monday-Thursday 0830 to 0700 ET,

**or**

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the examiner's supervisor, Kamini Shah, telephone number (571) 272-2279.

Any inquiry of a general nature or relating to the status of this application should be directed to the Group receptionist, telephone number (703) 305-3900.

**mailed to:**

Commissioner of Patents and Trademarks

Washington, D.C. 20231

**or faxed to:**

(703) 308-9051 (for formal communications intended for entry)

**or** (703) 308-1396 (for informal or draft communications, please label *PROPOSED* or *DRAFT*).

/Hugh Jones/

Primary Examiner, Art Unit 2128

March 15, 2008